

#3. Equidistribution in the space of 2-dimensional affine lattices

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Set $G = \text{ASL}(2, \mathbb{R}) = \text{SL}(2, \mathbb{R}) \ltimes \mathbb{R}^2$,

$$\text{with } (M_1, \vec{v}_1)(M_2, \vec{v}_2) = (M_1 M_2, \vec{v}_1 M_2 + \vec{v}_2).$$

G acts from the right on \mathbb{R}^2 by affine linear maps:

$$\vec{y}(M, \vec{v}) = \vec{y}M + \vec{v}, \quad \text{for } (M, \vec{v}) \in G, \vec{y} \in \mathbb{R}^2.$$

Set $\Gamma = \text{ASL}(2, \mathbb{Z}) = \text{SL}(2, \mathbb{Z}) \ltimes \mathbb{Z}^2$;

$$X = \Gamma \backslash G;$$

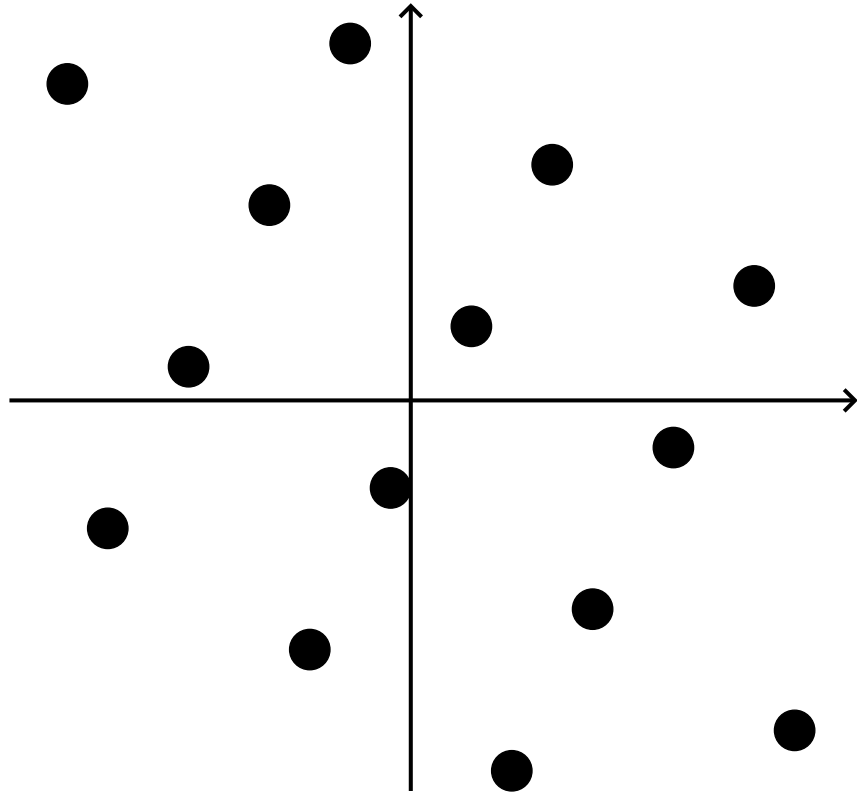
$$\mu = \text{Haar measure on } G; \mu(X) = 1.$$

X is a torus bundle over $Y = \Gamma_0 \backslash G_0 = \text{SL}(2, \mathbb{Z}) \backslash \text{SL}(2, \mathbb{R})$.

Projection map $X \rightarrow Y$, $\Gamma(M, \vec{v}) \mapsto \Gamma_0 M$.

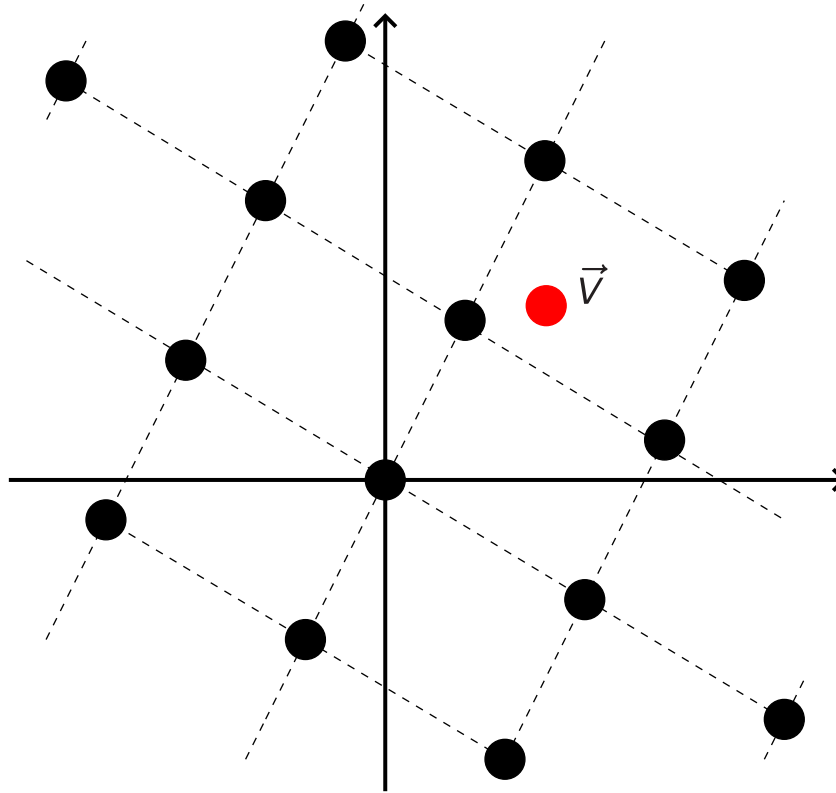
Fiber over $\Gamma_0 M$: $\{\Gamma(1_2, \vec{v})M : \vec{v} \in \mathbb{Z}^2 \setminus \mathbb{R}^2\}$.

X can be identified with the space of *affine unimodular lattices in \mathbb{R}^2* , through
 $\Gamma g \mapsto \mathbb{Z}^2 g$, i.e. $\Gamma(M, \vec{v}) \mapsto \mathbb{Z}^2 M + \vec{v}$



OR: X may be identified with the space of pairs

$$\left\{ (L, \vec{v}) : L \text{ unimodular lattice in } \mathbb{R}^2, \text{ and } \vec{v} \in \mathbb{R}^2 \bmod L \right\}.$$



Unipotent orbits in X

Set

$$u(x) := \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} = \left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}, (0, 0) \right); \quad \text{an Ad-unipotent subgroup of } G.$$

But there exist other Ad-unipotent subgroups not conjugate to $u(\mathbb{R})$:

$$x \mapsto \left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}, (2\alpha x, \alpha x^2) \right) \quad \text{any fixed } \alpha \neq 0.$$

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$$a(y) = \begin{pmatrix} \sqrt{y} & 0 \\ 0 & 1/\sqrt{y} \end{pmatrix}.$$

For any $\vec{\xi} \in \mathbb{R}^2$, $y \in \mathbb{R}_{>0}$, consider the $u(\mathbb{R})$ -orbit $\boxed{\Gamma(1_2, \vec{\xi}) a(y) u(\mathbb{R})}$ in X .

Note $u(x)a(y) = a(y)u(x/y)$; hence $\boxed{\Gamma(1_2, \vec{\xi}) a(y) u(\mathbb{R}) = \Gamma(1_2, \vec{\xi}) U(\mathbb{R}) a(y)}$,

and: projects to a *closed* horocycle in $Y = \mathrm{SL}(2, \mathbb{Z}) \backslash \mathrm{SL}(2, \mathbb{R})$, length $1/y$.

Do the $a(y)$ -push-forwards of any fixed piece $\{\Gamma(1_2, \vec{\xi})u(x) : \alpha < x < \beta\}$ become asymptotically equidistributed in (X, μ) as $y \rightarrow 0$?

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Do the $a(y)$ -push-forwards of any fixed piece $\{\Gamma(1_2, \vec{\xi})u(x) : \alpha < x < \beta\}$ become asymptotically equidistributed in (X, μ) as $y \rightarrow 0$?

Answer: Yes if.f. $\vec{\xi} \notin \mathbb{Q}^2$.

So, we have, for any $\vec{\xi} \in \mathbb{R}^2 \setminus \mathbb{Q}^2$, $\alpha < \beta$, $f \in C_b(X)$:

$$\lim_{y \rightarrow 0} \frac{1}{\beta - \alpha} \int_{\alpha}^{\beta} f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) dx = \int_X f d\mu.$$

(Consequence of Ratner's measure classification; special case of Shah 1996; cf. also Elkies & McMullen 2004.)

On the other hand, if $\vec{\xi} \in \mathbb{Q}^2$, let $q = d(\vec{\xi})$, the “denominator” of $\vec{\xi}$.

Then $\{\Gamma(1_2, \vec{\xi}) u(x) a(y) : \alpha < x < \beta\}$ is a piece of a closed horocycle in the 3-dimensional homogeneous subspace

$$X_q := \left\{ \Gamma(1_2, \vec{v}) M : M \in \mathrm{SL}(2, \mathbb{R}), \vec{v} \in \mathbb{Q}^2, d(\vec{v}) = q \right\}$$

$$\cong \Gamma_1(q) \backslash \mathrm{SL}(2, \mathbb{R}),$$

and we have

$$\lim_{y \rightarrow 0} \frac{1}{\beta - \alpha} \int_{\alpha}^{\beta} f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) dx = \int_{X_q} f d\mu_q.$$

Effective result – using Margulis' thickening technique?

We wish to prove that, if $\vec{\xi} \notin \mathbb{Q}^2$, then

$$\frac{1}{\beta - \alpha} \int_{\alpha}^{\beta} f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) dx \rightarrow \int_X f d\mu, \quad \text{as } y \rightarrow 0,$$

with an explicit rate!

Note that the flow $a(\mathbb{R}_+^\times)$ on $X = \Gamma \backslash G$ is **HYPERBOLIC**, with **unstable manifolds** (for $y \rightarrow 0$) generated by “ $\left(\begin{pmatrix} 1 & \mathbb{R} \\ 0 & 1 \end{pmatrix}, (0, \mathbb{R})\right)$ ”

(*different* rates of expansion!)

stable manifolds generated by “ $\left(\begin{pmatrix} 1 & 0 \\ \mathbb{R} & 1 \end{pmatrix}, (\mathbb{R}, 0)\right)$ ”

(*different* rates of contraction!).

The thickening technique could certainly be applied to study a *2-dimensional* average,

$$\frac{1}{|I||J|} \int_I \int_J f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) dx d\xi_2 \quad \text{as } y \rightarrow 0;$$

however for our *1-dimensional* average it does not work.

Connecting with Dolgopyat's (more standard) notation

Let $\tilde{G} = \left\{ (M, \vec{v}) : M \in \text{SL}(2, \mathbb{R}), \vec{v} \text{ column vector } \in \mathbb{R}^2 \right\}$, with multiplication $(M_1, \vec{v}_1)(M_2, \vec{v}_2) = (M_1 M_2, M_1 \vec{v}_2 + \vec{v}_1)$.

Action $\tilde{G} \times \mathbb{R}^2 \rightarrow \mathbb{R}^2$: $(M, \vec{v}) \mathbf{u} := M\mathbf{u} + \vec{v}$.

Let $\tilde{\Gamma} = \tilde{G}(\mathbb{Z})$.

Key claim: For (x, α) uniformly random in $(\mathbb{R}/\mathbb{Z})^2$, the distribution of the random affine lattice

$$\begin{aligned} L_{N,\alpha}^{(x)} &= \begin{pmatrix} N & \\ & 1/N \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \left(\mathbb{Z}^2 + \begin{pmatrix} x \\ 0 \end{pmatrix} \right) \\ &= \begin{pmatrix} N & \\ & 1/N \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \left(1_2, \begin{pmatrix} x \\ 0 \end{pmatrix} \right) \mathbb{Z}^2 \end{aligned}$$

tends to “Haar” on $\tilde{G}/\tilde{\Gamma}$, as $N \rightarrow \infty$.

Anti-isomorphism:

$$J: \tilde{G} \xrightarrow{\sim} G; \quad J\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}\right) = \left(\begin{pmatrix} d & -b \\ -c & a \end{pmatrix}, (v_2, -v_1)\right)$$

This comes from embedding \tilde{G} and G in $SL(3, \mathbb{R})$ through $(M, \vec{v}) \mapsto \begin{pmatrix} M & \vec{v} \\ 0 & 1 \end{pmatrix}$,

resp., $(M, \vec{v}) \mapsto \begin{pmatrix} M & 0 \\ \vec{v} & 1 \end{pmatrix}$, and then consider the anti-automorphism

$$g \mapsto \begin{pmatrix} \omega & 0 \\ 0 & 1 \end{pmatrix} g^T \begin{pmatrix} \omega^{-1} & 0 \\ 0 & 1 \end{pmatrix} \text{ of } SL(3, \mathbb{R}).$$

Using J , we get an identification map $\tilde{G}/\tilde{\Gamma} \xrightarrow{\sim} X = G/\Gamma$, $\tilde{\Gamma}g \mapsto J(g)/\Gamma$.

This maps $L_{N,\alpha}^{(x)}$ in $\tilde{G}/\tilde{\Gamma}$ to

$$\Gamma(1_2, (0, -x)) \begin{pmatrix} 1 & -\alpha \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1/N & 0 \\ 0 & N \end{pmatrix} = \Gamma(1_2, (0, -x)) u(-\alpha) a(y) \quad \text{in } X = \Gamma \backslash G,$$

with $y = N^{-2}$. Hence we get back the equidistribution statement for (x, α) uniformly random in $(\mathbb{R}/\mathbb{Z})^2$.

But *also*, the more difficult (Shah 1996) equidistribution statement gives:

Theorem: Let $V_N(x, \alpha, c) = \#\left\{1 \leq n \leq N : x + n\alpha \in [-c/N, c/N]\right\}$.

Then for any fixed $c > 0$, fixed **irrational** x , and α uniformly random in any

fixed interval in \mathbb{R}/\mathbb{Z} , $V_N(x, \alpha, c) \xrightarrow{N \rightarrow \infty} \mathcal{Y}_{(c)}$.

Effective equidistribution, for 1-dim unipotent in $X = \Gamma \backslash G$

Theorem 1 (S, '15) For any $0 < y < 1$, $\vec{\xi} \in \mathbb{R}^2$, $\alpha < \beta$, $f \in C_b^8(X)$:

$$\left| \frac{1}{\beta - \alpha} \int_{\alpha}^{\beta} f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) dx - \int_X f d\mu \right| \\ \ll_{\varepsilon} \|f\|_{C_b^8} \frac{L}{\beta - \alpha} \left(b_{\vec{\xi}, L}(y) + y^{\frac{1}{4}} \right)^{1-\varepsilon},$$

where $L = \max(1, |\alpha|, |\beta|)$ and

$$b_{\vec{\xi}, L}(y) = \max_{q \in \mathbb{Z}^+} \min \left(\frac{1}{q^2}, \frac{\sqrt{y}}{Lq \langle q\xi_1 \rangle}, \frac{\sqrt{y}}{q \langle q\xi_2 \rangle} \right).$$

(Here $\langle \cdot \rangle$ = distance to nearest integer.)

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(Here $\langle \cdot \rangle$ = distance to nearest integer.)

$$\left[b_{\vec{\xi}, L}(y) \xrightarrow{y \rightarrow 0} 0 \right] \iff \vec{\xi} \notin \mathbb{Q}^2.$$

$$\left[b_{\vec{\xi}, L}(y) \ll y^{\delta} \text{ as } y \rightarrow 0 \right], \text{ if } \vec{\xi} \text{ has Dioph. type } K \text{ and } \delta = \min\left(\frac{1}{2}, K^{-1}\right).$$

(Def: $\vec{\xi}$ is of Diophantine type K iff $\exists c > 0$: $\forall q \in \mathbb{Z}^+$: $\forall \vec{m} \in \mathbb{Z}^2$:

$$\|\vec{\xi} - q^{-1}\vec{m}\| > cq^{-K}.)$$

Consequence for orbits of a FIXED point

Theorem 2 (S, '15) For any $g \in G$, $T \geq 2$, $f \in C_b^{\circledast}(X)$:

$$\left| \frac{1}{T} \int_0^T f(\Gamma g u(t)) dt - \int_X f d\mu \right| \ll_{\varepsilon} \|f\|_{C_b^{\circledast}} \left(y_g(T)^{\frac{1}{4}} + b_g(T) \right)^{\frac{1}{2} - \varepsilon},$$

where

$$y_g(T) = T^{-1} \cdot \text{Height}_Y(\Gamma g a(T))$$

and

$$b_g(T) = \inf \left\{ \delta > 0 : \left(\forall q \in \mathbb{Z}_{\leq \delta^{-1/2}}^+ : (q^{-1}\mathbb{Z}^2)g \cap \frac{1}{\delta q^2} \mathfrak{R}_T = \emptyset \right) \right\}$$

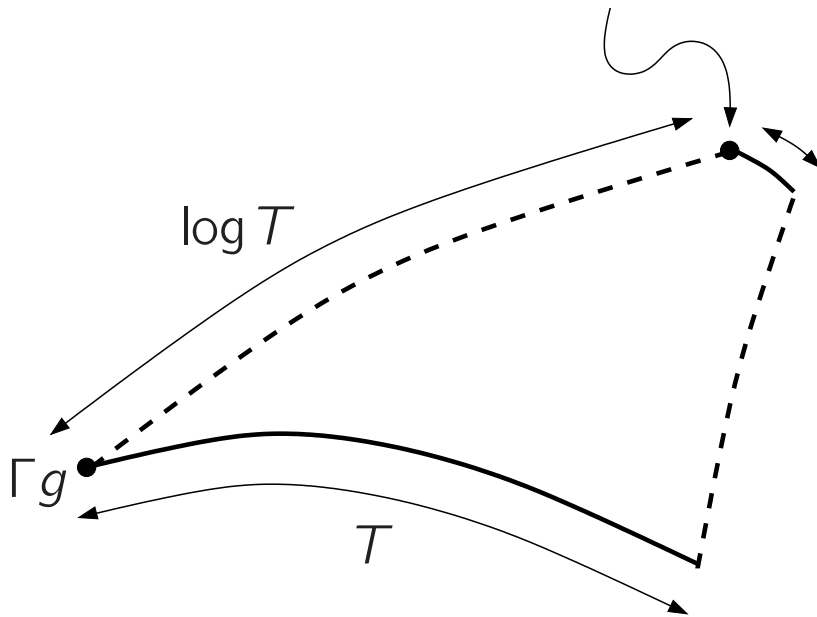
where $\mathfrak{R}_T = [-T^{-1}, T^{-1}] \times [-1, 1]$.

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$y_g(T) = T^{-1} \cdot \text{Height}_Y(\Gamma g a(T))$ (cuspidal height in Y).



$$\left[y_g(T) \xrightarrow{T \rightarrow \infty} 0 \right]$$

\iff

$\pi(\Gamma g u(\mathbb{R}))$ is not closed in Y .

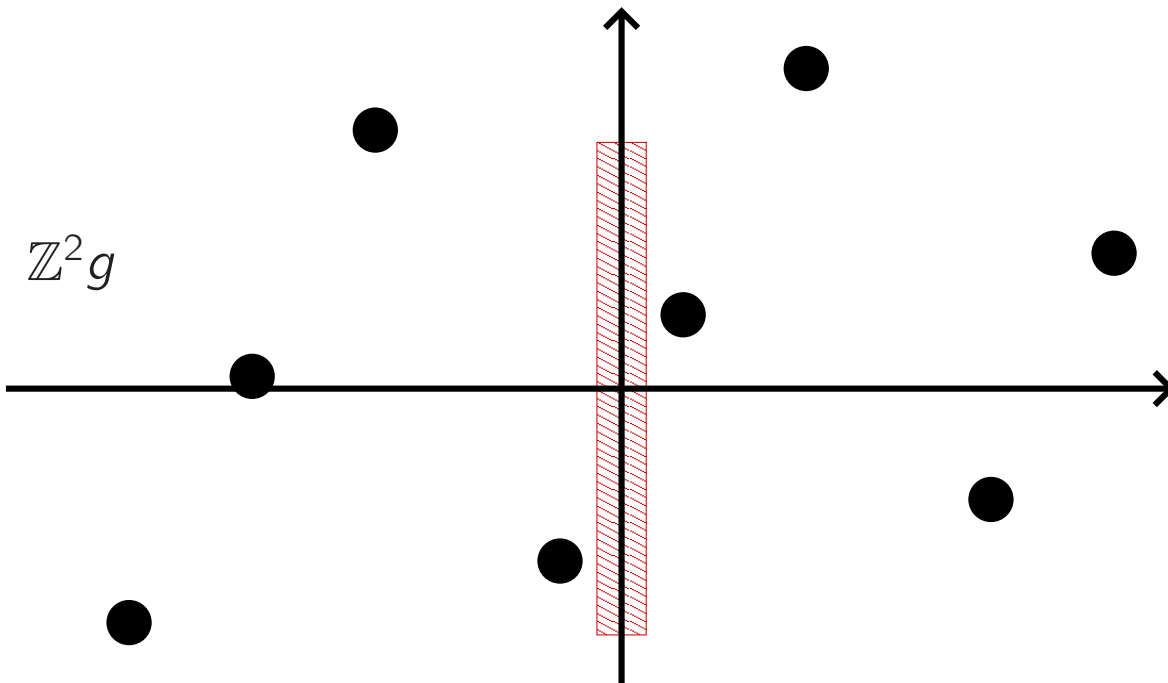
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$$b_g(T) = \inf \left\{ \delta > 0 : \left(\forall q \in \mathbb{Z}_{\leq \delta^{-1/2}}^+ : (q^{-1}\mathbb{Z}^2)g \cap \frac{1}{\delta q^2} \mathfrak{R}_T = \emptyset \right) \right\},$$

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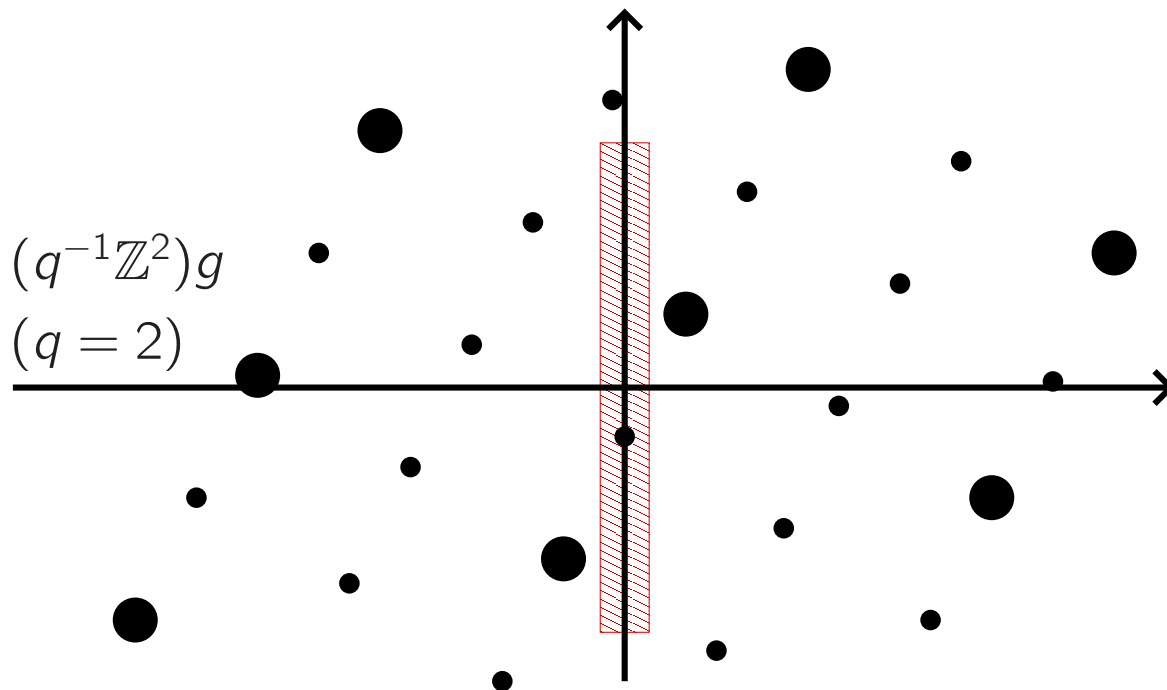
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$$\left[b_g(T) \xrightarrow{T \rightarrow \infty} 0 \right] \\ \iff \\ \mathbb{Q}^2 g \cap (\{0\} \times \mathbb{R}) = \emptyset.$$

Consequence for orbits of a **FIXED** point

Theorem 2 (S, '15) For any $g \in G$, $T \geq 2$, $f \in C_b^{\circledast}(X)$:

$$\left| \frac{1}{T} \int_0^T f(\Gamma gu(t)) dt - \int_X f d\mu \right| \ll_{\varepsilon} \|f\|_{C_b^{\circledast}} \left(y_g(T)^{\frac{1}{4}} + b_g(T) \right)^{\frac{1}{2} - \varepsilon}.$$

If $y_g(T) \not\rightarrow 0$, $b_g(T) \not\rightarrow 0$: $\Gamma gu(\mathbb{R})$ closed.

If $y_g(T) \rightarrow 0$, $b_g(T) \not\rightarrow 0$: $\overline{\Gamma gu(\mathbb{R})}$ “=” $\Gamma_1(q) \backslash \mathrm{SL}(2, \mathbb{R})$, some $q \in \mathbb{Z}^+$.

If $y_g(T) \not\rightarrow 0$, $b_g(T) \rightarrow 0$: $\overline{\Gamma gu(\mathbb{R})}$ is 2-dimensional.

For μ -a.e. $g \in G$: $(y_g(T)^{\frac{1}{4}} + b_g(T))^{\frac{1}{2}} \ll T^{-\frac{1}{8}(1-\varepsilon)}$ as $T \rightarrow \infty$.

Related results

Browning – Vinogradov ('16): For $\tilde{u}(x) = \left(\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}, \left(\frac{1}{2}x, \frac{1}{4}x^2\right) \right)$.

S – Vishe ('16): $SL(2, \mathbb{R}) \times (\mathbb{R}^2)^{\oplus k}$, special orbits of $u(x)$.

S – Södergren – Vishe (in progress) $SL(2, \mathbb{R}) \times (\mathbb{R}^2)^{\oplus k}$, general orbits of $u(x)$.

W. Kim ('21): $SL(d, \mathbb{R}) \times \mathbb{R}^d$ (expanding translates of lifts of horospheres in $SL(d, \mathbb{R})$).

Lindenstrauss – Mohammadi – Wang ('22): $SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$ and $SL(2, \mathbb{C})$.

Lei Yang ('22): Special unipotent orbits in $SL(3, \mathbb{R})$.

Lindenstrauss – Mohammadi – Wang – Yang ('24): (Other) special unipotent orbits in $SL(3, \mathbb{R})$.

Recall:

Theorem 1 (S, '15) For any $0 < y < 1$, $\vec{\xi} \in \mathbb{R}^2$, $\alpha < \beta$, $f \in C_b^8(X)$:

$$\left| \frac{1}{\beta - \alpha} \int_{\alpha}^{\beta} f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) dx - \int_X f d\mu \right| \\ \ll_{\varepsilon} \|f\|_{C_b^8} \frac{L}{\beta - \alpha} \left(b_{\vec{\xi}, L}(y) + y^{\frac{1}{4}} \right)^{1-\varepsilon},$$

where $L = \max(1, |\alpha|, |\beta|)$ and

$$b_{\vec{\xi}, L}(y) = \max_{q \in \mathbb{Z}^+} \min \left(\frac{1}{q^2}, \frac{\sqrt{y}}{Lq \langle q\xi_1 \rangle}, \frac{\sqrt{y}}{q \langle q\xi_2 \rangle} \right).$$

(Here $\langle \cdot \rangle$ = distance to nearest integer.)

Outline of proof of Theorem 1

Preliminary step: Replace “ $\int_{\alpha}^{\beta} \dots dx$ ” by a smooth integral “ $\int_{\mathbb{R}} \dots \nu(x) dx$ ”.

Theorem 1' Fix $0 < \eta < 1$ and $\varepsilon > 0$.

Then for any $f \in C_b^8(\Gamma \setminus G)$, $\nu \in C_c^2(\mathbb{R})$, $\vec{\xi} \in \mathbb{R}^2$, $0 < y < 1$:

$$\int_{\mathbb{R}} f\left(\Gamma(1_2, \vec{\xi}) u(x) a(y)\right) \nu(x) dx = \int_{\Gamma \setminus G} f d\mu \int_{\mathbb{R}} \nu dx$$
$$+ O_{\eta, \varepsilon} \left\{ \|f\|_{C_b^8} \|\nu\|_{W^{1,1}}^{1-\eta} \|\nu\|_{W^{2,1}}^{\eta} y^{\frac{1}{4}} \log(1 + y^{-1}) + \|f\|_{C_b^4} L \|\nu\|_{L^\infty} (b_{\vec{\xi}, L}(y) + y^{\frac{1}{4}})^{1-\varepsilon} \right\},$$

where L is the smallest real number ≥ 1 such that $\text{supp}(\nu) \subset [-L, L]$.

Outline of proof of Theorem 1

Step 1: Fourier decomposition in the torus $T^2 = \mathbb{Z}^2 \backslash \mathbb{R}^2$

Given $f \in C_b^\infty(X)$; view f as a left Γ -invariant function on G .

$$f((1_2, \vec{\xi})M) = f((1_2, \vec{\xi} + \vec{n})M), \quad \forall \vec{\xi} \in \mathbb{R}^2, M \in \mathrm{SL}(2, \mathbb{R}), \vec{n} \in \mathbb{Z}^2,$$

viz., “ $\vec{\xi}$ lives in $T^2 = \mathbb{Z}^2 \backslash \mathbb{R}^2$ ”.

Fourier expand w.r.t. $\vec{\xi}$:

$$f((1_2, \vec{\xi})M) = \sum_{\vec{m} \in \mathbb{Z}^2} \hat{f}(M, \vec{m}) e(\vec{m} \cdot \vec{\xi}),$$

$$\text{with } \hat{f}(M, \vec{m}) := \int_{T^2} f((1_2, \vec{\xi})M) e(-\vec{m} \cdot \vec{\xi}) d\vec{\xi}. \quad (M \in \mathrm{SL}(2, \mathbb{R}), \vec{m} \in \mathbb{Z}^2).$$

$$e(x) = e^{2\pi i x}$$

The Γ -invariance of f implies:

$$\hat{f}(TM, \vec{m}) \equiv \hat{f}(M, \vec{m} {}^t T^{-1}), \quad \forall T \in \mathrm{SL}(2, \mathbb{Z}).$$

Outline of proof of Theorem 1

Step 1: Fourier decomposition in the torus $T^2 = \mathbb{Z}^2 \backslash \mathbb{R}^2$

The Γ -invariance of f implies: $\widehat{f}(TM, \vec{m}) \equiv \widehat{f}(M, \vec{m} {}^t T^{-1}), \quad \forall T \in \text{SL}(2, \mathbb{Z}).$

The orbits of $\text{SL}(2, \mathbb{Z})$ acting on \mathbb{Z}^2 by $\vec{m} \mapsto {}^t T \vec{m}$ are:

$$\{\vec{0}\}, \quad \text{and} \quad \left\{ (m_1, m_2) : \gcd(m_1, m_2) = n \right\} \quad \text{for } n = 1, 2, 3, \dots$$

Hence: Set $\widetilde{f}_n := \widehat{f}(\cdot, (n, 0))$ for $n \in \mathbb{Z}_{\geq 0}$; these determine *all* $\widehat{f}(\cdot, \vec{m})!$

\widetilde{f}_0 is left $\text{SL}(2, \mathbb{Z})$ -invariant, i.e. “lives on $Y = \text{SL}(2, \mathbb{Z}) \backslash \text{SL}(2, \mathbb{R})$ ”

$\forall n \geq 1$: \widetilde{f}_n is left $\begin{pmatrix} 1 & \mathbb{Z} \\ 0 & 1 \end{pmatrix}$ -invariant, i.e. “lives on $\begin{pmatrix} 1 & \mathbb{Z} \\ 0 & 1 \end{pmatrix} \backslash \text{SL}(2, \mathbb{R})$ ”.

Outline of proof of Theorem 1

Step 1: Fourier decomposition in the torus $T^2 = \mathbb{Z}^2 \backslash \mathbb{R}^2$

Set $\tilde{f}_n(M) := \hat{f}(M, (n, 0))$ for $n \in \mathbb{Z}_{\geq 0}$ and $M \in \text{SL}(2, \mathbb{R})$.

Now the Fourier expansion $f((1_2, \vec{\xi})M) = \sum_{\vec{m} \in \mathbb{Z}^2} \hat{f}(M, \vec{m}) e(\vec{m} \cdot \vec{\xi})$ is collected into:

$$f((1_2, \vec{\xi})M) = \tilde{f}_0(M) + \sum_{n=1}^{\infty} \sum_{(c,d) \in \hat{\mathbb{Z}}^2} \tilde{f}_n \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} M \right) e(n(d\xi_1 - c\xi_2)),$$

where $\hat{\mathbb{Z}}^2$ is the set of *primitive* vectors in \mathbb{Z}^2 , and $\begin{pmatrix} * & * \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})$ (any choice).

Outline of proof of Theorem 1

Step 2: Evaluate 'horocycle integral' in terms of $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \dots$

Our integral:

$$\begin{aligned} & \int_{\mathbb{R}} f \left(\Gamma(1_2, \vec{\xi}) u(x) a(y) \right) \nu(x) dx \\ &= \int_{\mathbb{R}} \tilde{f}_0 \left(\begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx \\ &+ \sum_{n=1}^{\infty} \sum_{\begin{pmatrix} c & \\ & d \end{pmatrix} \in \widehat{\mathbb{Z}}^2} e(n(d\xi_1 - c\xi_2)) \int_{\mathbb{R}} \tilde{f}_n \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx. \end{aligned}$$

Recall that \tilde{f}_0 lives on $Y = \mathrm{SL}(2, \mathbb{Z}) \backslash \mathrm{SL}(2, \mathbb{R})$. Hence:

$$\begin{aligned} \int_{\mathbb{R}} \tilde{f}_0(\dots) \nu(x) dx &= \underbrace{\int_Y \tilde{f}_0 d\mu_Y}_{= \int_X f d\mu} \int_{\mathbb{R}} \nu dx + O\left(\left(\|\nu\|_{L^1} + \|\nu'\|_{L^1}\right) \|f\|_{C_b^4} y^{\frac{1}{2}-\varepsilon}\right). \end{aligned}$$

(cf. Flaminio & Forni, '03 or S, '13).

Outline of proof of Theorem 1

Step 2: Evaluate 'horocycle integral' in terms of $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \dots$

Remains to bound:

$$\sum_{n=1}^{\infty} \sum_{\begin{pmatrix} c & \\ & d \end{pmatrix} \in \hat{\mathbb{Z}}^2} e(n(d\xi_1 - c\xi_2)) \int_{\mathbb{R}} \tilde{f}_n \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx.$$

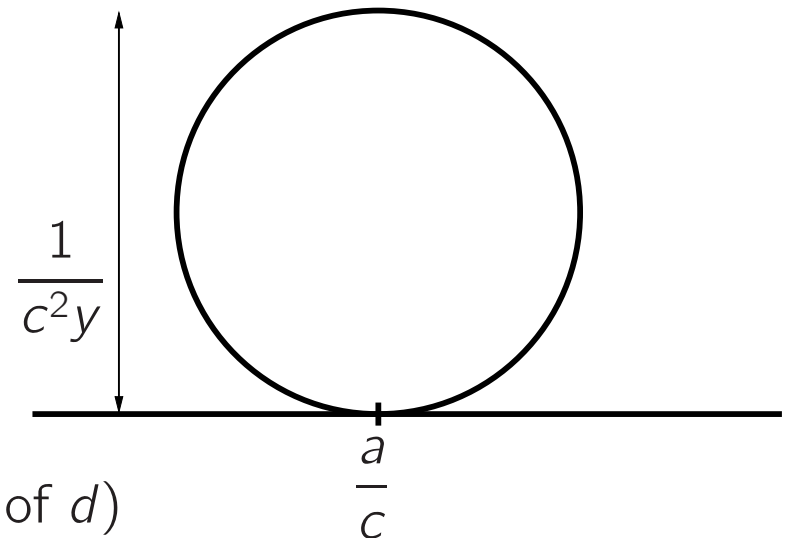
Recall that \tilde{f}_n lives on $\mathcal{M} := \begin{pmatrix} 1 & \mathbb{Z} \\ 0 & 1 \end{pmatrix} \backslash \mathrm{SL}(2, \mathbb{R})$;

$$\text{write } \tilde{f}_n(u, v, \phi) := \tilde{f}_n \left(\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \sqrt{v} & 0 \\ 0 & 1/\sqrt{v} \end{pmatrix} \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \right)$$

with $u \in \mathbb{R}/\mathbb{Z}$, $v > 0$, $\phi \in \mathbb{R}/2\pi\mathbb{Z}$.

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \{x + iy : x \in \mathbb{R}\} \text{ for } c \neq 0,$$

in the u, v -plane:



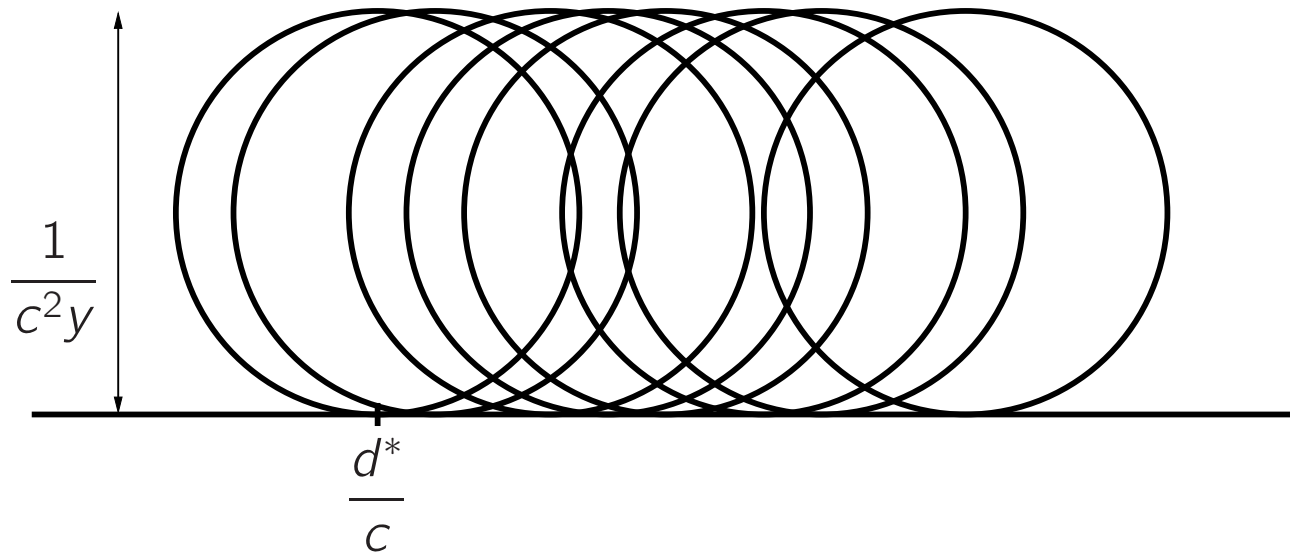
(here $a \equiv d^* \pmod{c}$, a multiplicative inverse of d)

Outline of proof of Theorem 1

Step 2: Evaluate 'horocycle integral' in terms of $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \dots$

For given $n, c \geq 1$, wish to bound:

$$\sum_{\substack{d \in \mathbb{Z} \\ (d,c)=1}} e(nd\xi_1) \int_{\mathbb{R}} \tilde{f}_n \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx$$



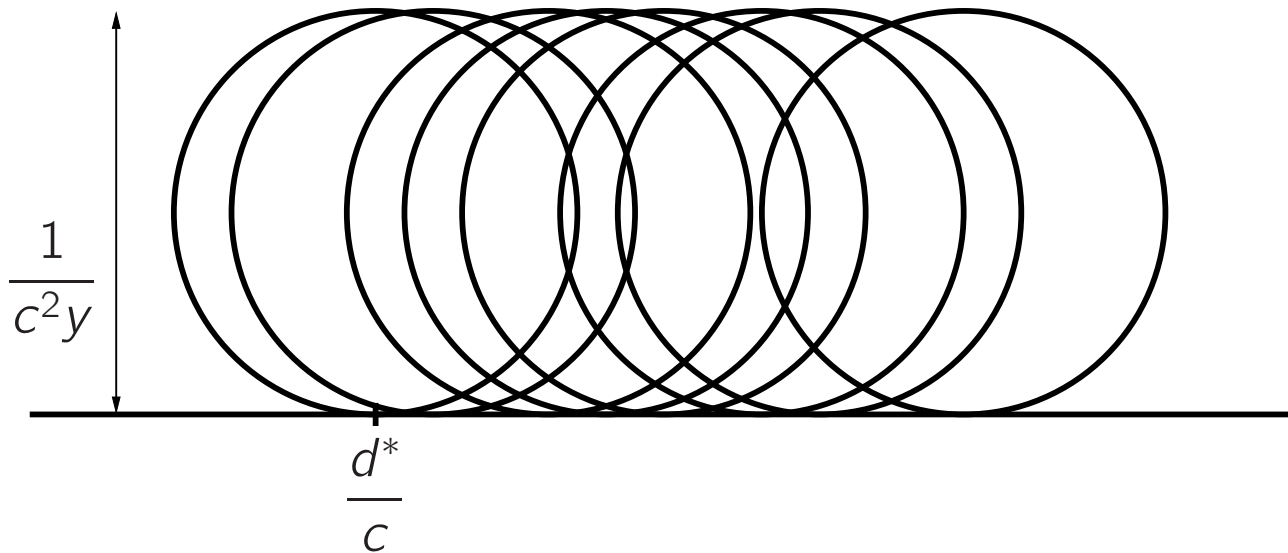
Outline of proof of Theorem 1

Step 2: Evaluate 'horocycle integral' in terms of $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \dots$

For given $n, c \geq 1$, wish to bound:

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$$= \int_0^\pi \tilde{f}_n \left(\frac{d^*}{c} - \frac{\sin 2\phi}{2c^2y}, \frac{\sin^2 \phi}{c^2y}, \phi \right) \nu \left(-\frac{d}{c} + y \cot \phi \right) \frac{y d\phi}{\sin^2 \phi}$$

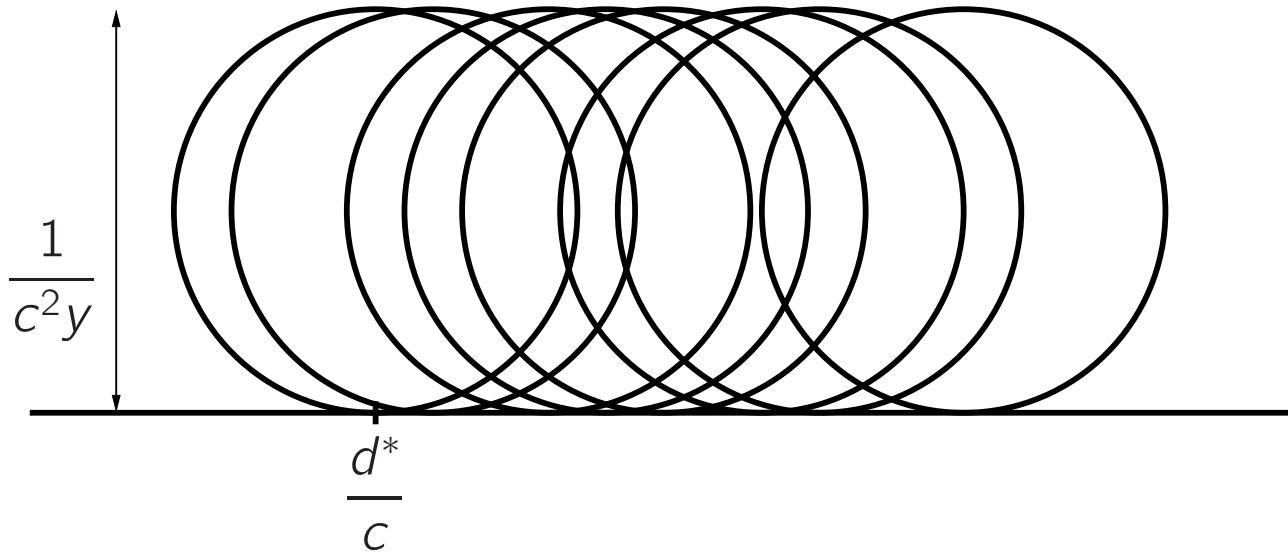


Outline of proof of Theorem 1

Step 2: Evaluate 'horocycle integral' in terms of $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \dots$

For given $n, c \geq 1$, wish to bound:

$$\sum_{\substack{d \in \mathbb{Z} \\ (d,c)=1}} e(nd\xi_1) \int_{\mathbb{R}} \tilde{f}_n \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx$$
$$= \int_0^\pi \sum_{\substack{d \in \mathbb{Z} \\ (d,c)=1}} e(dn\xi_1) \tilde{f}_n \left(\frac{d^*}{c} - \frac{\sin 2\phi}{2c^2y}, \frac{\sin^2 \phi}{c^2y}, \phi \right) \nu \left(-\frac{d}{c} + y \cot \phi \right) \frac{y d\phi}{\sin^2 \phi}$$



Outline of proof of Theorem 1

Step 2: Evaluate 'horocycle integral' in terms of $\tilde{f}_0, \tilde{f}_1, \tilde{f}_2, \dots$

For given $n, c \geq 1$, wish to bound:

$$\begin{aligned} & \sum_{\substack{d \in \mathbb{Z} \\ (d,c)=1}} e(dn\xi_1) \int_{\mathbb{R}} \tilde{f}_n \left(\begin{pmatrix} * & * \\ c & d \end{pmatrix} \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx \\ &= \int_0^\pi \sum_{\substack{d \in \mathbb{Z} \\ (d,c)=1}} \nu \left(\underbrace{-\frac{d}{c}} + y \cot \phi \right) \tilde{f}_n \left(\underbrace{\frac{d^*}{c}} - \frac{\sin 2\phi}{2c^2y}, \frac{\sin^2 \phi}{c^2y}, \phi \right) \underbrace{e(dn\xi_1)} \frac{y d\phi}{\sin^2 \phi} \end{aligned}$$

Hence, the task is to bound

$$\sum_{\substack{d \in \mathbb{Z} \\ (c,d)=1}} g_1 \left(\frac{d}{c} \right) g_2 \left(\frac{d^*}{c} \right) e(dn\xi_1),$$

for $g_1 \in C_c^2(\mathbb{R})$, $g_2 \in C^2(\mathbb{R}/\mathbb{Z})$, and $c \geq 1$ large.

Outline of proof of Theorem 1

Step 3: Proving cancellation in the sum

For $g_1 \in C_c^2(\mathbb{R})$, $g_2 \in C^2(\mathbb{R}/\mathbb{Z})$, $c \geq 1$ large:

$$\begin{aligned}
 & \sum_{\substack{d \in \mathbb{Z} \\ (c,d)=1}} g_1\left(\frac{d}{c}\right) g_2\left(\frac{d^*}{c}\right) e(dn\xi_1) \\
 &= \sum_{d \in (\mathbb{Z}/c\mathbb{Z})^\times} \underbrace{\left(\sum_{m \in \mathbb{Z}} g_1\left(\frac{d}{c} + m\right) e\left(c\left(\frac{d}{c} + m\right)n\xi_1\right) \right)}_{\text{say } = \sum_{j \in \mathbb{Z}} a_j e\left(j\frac{d}{c}\right)} \times \underbrace{g_2\left(\frac{d^*}{c}\right)}_{= \sum_{k \in \mathbb{Z}} b_k e\left(k\frac{d^*}{c}\right)} \\
 &= \sum_{j \in \mathbb{Z}} \sum_{k \in \mathbb{Z}} a_j b_k S(j, k; c),
 \end{aligned}$$

where $S(j, k; c)$ is the Kloosterman sum,

$$S(j, k; c) := \sum_{d \in (\mathbb{Z}/c\mathbb{Z})^\times} e\left(j\frac{d}{c} + k\frac{d^*}{c}\right)$$

Outline of proof of Theorem 1

Step 3: Proving cancellation in the sum

Using Weil's bound,

$$|S(n, m; c)| \leq \sigma(c) \sqrt{\gcd(n, m, c)} \sqrt{c}$$

get:

$$\sum_{\substack{d \in \mathbb{Z} \\ (c, d) = 1}} g_1\left(\frac{d}{c}\right) g_2\left(\frac{d^*}{c}\right) e(dn\xi_1)$$

$$\ll \left(\|g_1\|_{L^1} + \|g_1''\|_{L^1} \right) \left\{ \|g_2\|_{L^1} \sum_{k \in \mathbb{Z}} \frac{(c, \lfloor cn\xi_1 + k \rfloor)}{1 + k^2} + \|g_2''\|_{L^1} \sigma(c) \sqrt{c} \right\}.$$

Outline of proof of Theorem 1

Step 3: Proving cancellation in the sum

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get:

$$\sum_{\substack{d \in \mathbb{Z} \\ (c, d) = 1}} g_1\left(\frac{d}{c}\right) g_2\left(\frac{d^*}{c}\right) e(dn\xi_1)$$

$$\ll \left(\|g_1\|_{L^1} + \|g_1''\|_{L^1} \right) \left\{ \|g_2\|_{L^1} \sum_{k \in \mathbb{Z}} \frac{(c, \lfloor cn\xi_1 + k \rfloor)}{1 + k^2} + \|g_2''\|_{L^1} \sigma(c) \sqrt{c} \right\}.$$

Apply to $\sum_{\substack{d \in \mathbb{Z} \\ (d, c) = 1}} \nu\left(\underbrace{-\frac{d}{c}} + y \cot \phi\right) \tilde{f}_n\left(\underbrace{\frac{d^*}{c}} - \frac{\sin 2\phi}{2c^2 y}, \frac{\sin^2 \phi}{c^2 y}, \phi\right) \underbrace{e(dn\xi_1)}.$

Use also: $\left| \left(\frac{\partial}{\partial u}\right)^{k_1} \left(\frac{\partial}{\partial v}\right)^{k_2} \left(\frac{\partial}{\partial \phi}\right)^{k_3} \tilde{f}_n(u, v, \phi) \right| \ll_{m, k} \|f\|_{C_b^{m+k}} n^{-m} v^{\frac{m}{2} - k_1 - k_2}.$

Outline of proof of Theorem 1

Step 3: Proving cancellation in the sum

For ξ_1 of Diophantine type $K \geq 2$ (viz., $\left| \xi_1 - \frac{p}{q} \right| \gg q^{-K}$, $\forall q \in \mathbb{Z}^+, p \in \mathbb{Z}$), we conclude:

$$\int_{\mathbb{R}} f \left(\Gamma(1_2, \vec{\xi}) u(x) \begin{pmatrix} \sqrt{y} & 0 \\ 0 & 1/\sqrt{y} \end{pmatrix} \right) \nu(x) dx = \int_{\Gamma \backslash G} f d\mu \int_{\mathbb{R}} \nu dx$$
$$+ O_{\eta, \varepsilon, \xi_1} \left(\|f\|_{C_b^8} \|\nu\|_{W^{1,1}}^{1-\eta} \|\nu\|_{W^{2,1}}^{\eta} \right) y^{-\varepsilon + \min(\frac{1}{4}, \frac{1}{K})}.$$

Outline of proof of Theorem 1

Step 4: The case of $n\xi_1$ near rational

For $n\xi_1$ (near) *rational*, $\sum_{\substack{d \in \mathbb{Z} \\ (c,d)=1}} g_1\left(\frac{d}{c}\right) g_2\left(\frac{d^*}{c}\right) e(dn\xi_1)$ is *not* small!

Simplest example: If $n\xi_1 \in \mathbb{Z}$ then

$$\sum_{\substack{d \in \mathbb{Z} \\ (c,d)=1}} g_1\left(\frac{d}{c}\right) g_2\left(\frac{d^*}{c}\right) e(dn\xi_1) = \varphi(c) \left(\int_{\mathbb{R}} g_1 \right) \left(\int_{\mathbb{R}/\mathbb{Z}} g_2 \right) \\ + O\left(\|g_1\|_{L^1} + \|g_1''\|_{L^1} \right) \left(\|g_2\|_{L^1} + \|g_2''\|_{L^1} \right) c^{\frac{1}{2} + \varepsilon}.$$

Similarly pick up “**main term(s)**” whenever $n\xi_1$ is near rational!

Outline of proof of Theorem 1

Step 4: The case of $n\xi_1$ near rational

For $n\xi_1$ (near) *rational*, $\sum_{\substack{d \in \mathbb{Z} \\ (c,d)=1}} g_1\left(\frac{d}{c}\right) g_2\left(\frac{d^*}{c}\right) e(dn\xi_1)$ is *not* small!

Then use also the summation over c , and the factor “ $e(-cn\xi_2)$ ”!

$$\sum_{c=1}^{\infty} e(-cn\xi_2) \underbrace{\sum_{\substack{d \in \mathbb{Z} \\ (d,c)=1}} e(dn\xi_1) \tilde{f}_n\left(\frac{d^*}{c} - \frac{\sin 2\phi}{2c^2y}, \frac{\sin^2 \phi}{c^2y}, \phi\right) \nu\left(-\frac{d}{c} + y \cot \phi\right)}_{}$$

(Simplest example: If $n\xi_1 \in \mathbb{Z}$ then $\rightsquigarrow \sum_{c=1}^{\infty} e(-cn\xi_2)\varphi(c)$.)

.....

